SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287								
Estimated average burden								
hours per response:	0.5							

Instruction 1	(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 19	34		· ·		
	.,		or Section 30(h) of the Investment Company Act of 1940					
	Idress of Reporting	g Person [*]	2. Issuer Name and Ticker or Trading Symbol Latham Group, Inc. [SWIM]		ationship of Repor k all applicable)	ting Perso	n(s) to Issuer	
Evalis Rob	rans Robert Deaton			X	Director		10% Owner	
			3. Date of Earliest Transaction (Month/Day/Year) 04/27/2021		Officer (give titl below)	e Other (specify below)		
787 WATERVLIET SHAKER ROAD		R ROAD	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Gro	oint/Group Filing (Check Applicable		
(Street)				X	Form filed by C	ne Reporti	ing Person	
C/O LATHAM 787 WATERVI (Street) LATHAM	NY	12110			Form filed by M Person	lore than C	One Reporting	
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1- Non-Derivative decarries Acquired, Disposed of, of Derivitiany Owned											
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock, par value \$0.0001 per share	04/27/2021		Р		25,000 ⁽¹⁾	A	\$19	318,736	D		
Common Stock, par value \$0.0001 per share								337,255 ⁽²⁾	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Deriv	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Explanation of Responses:

1. Represents shares acquired pursuant to a directed share program in connection with the Issuer's initial public offering of common stock.

2. Represents restricted stock. The shares of restricted stock vest ratably every six months starting December 2021 through December 2023.

/s/ Jason Duva, Attorney-in-

Fact

** Signature of Reporting Person

Date

04/28/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See [